

Register of pecuniary interests for members, trustees, local governors and senior staff

To be completed annually by all members, trustees, local governors, senior leaders and staff involved in the procurement process

Personal Details

Your name: Chris Rutt

Your office/designation: Trustee

Employment

Please complete this section if you are in paid employment, or you are self employed.

Are you self employed Yes/No*

My employer is: Tradition Management Services Limited

Their/ my business is: Inter-dealer brokerage

My job is: EMEA Chief Financial Officer

If you are employed as a senior leader and have other interests for which you personally receive remuneration please detail these interests below:

Director, partnerships and other trusteeships and governorships

Are you a partner or director in a company or a body corporate? Yes/No

Are you a trustee or a governor in a different organisation? Yes/No

If you have answered "yes" please disclose for each appointment: the name of the business or institution, the nature of its business, the nature of the interest, and the date the interest began.

Name	Nature of business	Interest	Start Date
Tradition (UK) Ltd	Interdealer broker	Director	26 May 2020
Tradition Financial Services Ltd	Interdealer broker	Director	26 May 2020
TFS Derivatives Ltd	Interdealer broker	Director	26 May 2020

Tradition London Clearing Ltd	Matched-principal clearer	Director	26 May 2020
Tradition Management Services Ltd	Group service company	Director	26 May 2020
Tradition UK Holdings Ltd	Holding company	Director	26 May 2020
Trad-X (UK) Ltd	Dormant	Director	26 May 2020
NASEN	Educational charity	Trustee	TBD (appointment not yet completed)

Contracts

Is there a contract, either existing or proposed, between you or your relatives (defined overleaf) or spouse (or someone living with you as if he or she were your spouse), an organisation/ society/club of which you are a member or your employer and the trust?

Yes/No

If you have answered “yes” please disclose below full details of the contract including the start/finish dates, the nature of the contract, its value and whether “connected parties” status (3.2 AFH) applies

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Interest in securities

Do you hold any substantial ² securities in any company or body corporate?

Yes/No*

If you have answered yes please provide the name of the company or body corporate below, and the nature of their business (continue on a separate sheet if necessary).

²You have a substantial interest if you own shares or other securities in the company with a nominal value of more than £25,000 or more than 1/100th of the issued shares or securities. The notional value is normally the face value on the share certificate and is not the market value. If in doubt contact your broker. If there are several classes of shares or securities, the fraction of 1/100th applies to any of those classes. These limits also apply to deposits with industrial and provident societies, and co-operative societies.

5. Declaration

I declare that to the best of my knowledge the foregoing is accurate, and I am aware that the above information is open to inspection. If my circumstances change, I will immediately inform the trust and make an appropriate declaration.

Signature  Date18/06/2020.....

Once you have completed this form please return it to the Chief Executive for publication on the trust’s website